





Presentation Agenda

- UMR Overview and Student Market Trends
- Problem Statement & Premise of the Research
- Review of the Literature
- Methodology and Research Format
- Applying Six Sigma at the UMR Admissions Office --Results & Analysis
 - Measure
 - Analyze
 - Improve
 - Control
- Conclusions
- Suggestions for Future Work
- Questions & Discussion

University of Missouri – Rolla A Technological Research University

- 5600 Students: 75% Undergrad, 25% Graduate
- 76% Engineering Majors, 93% STEM Majors
- Average Scores: 27.4 ACT, 1280 SAT
- 75% In-state, 25% Out-of-state
- \$37 million in Sponsored Research
- 13:1 Student Faculty Ratio

UMR Enrollment Trends 2000-2005

5 602

Fall 2005 Total Enrollmont.

Fail 2005 Total Elifonnient.	5,002				
Enrollment (4th week after classes begin)	<u>FS 2000</u>	<u>FS 2005</u>	<u>FS 2000 - 2</u> <u>Change</u>	2005 (5 yr) <u>% Change</u>	<u>FS 2005</u> <u>% of Total</u>
Undergraduate Students:					
Freshmen	811	1,122	311	38%	20%
Sophomores	688	881	193	28%	16%
Juniors	755	961	206	27%	17%
Seniors	1,444	1,349	-95	-7%	24%
Total Undergraduates	3,698	4,313	615	17%	77%
Graduate Students:					
Graduate Certificates		131	131		2%
Masters	647	789	142	22%	14%
Doctoral	281	369	88	31%	7%
Total Graduate Students	928	1,289	361	39%	23%

Diversity Increases



University of Missouri - Rolla Geographic Origin of All Students - Fall 2005



University of Missouri - Rolla Geographic Origin of All Students – Preliminary Fall 2005



Financial Impact of Enrollment & Retention Growth

- Stronger Understanding of the Relationship between Early Applicant Financial Needs vs. Later Applicants
- Discount Rate lowered 14%
- + \$11 Million in tuition revenue
- 1st 2nd Retention Rate: 87% +4%
- Graduation Rate: 64% +12%

A New Demand for Top Quality Service at Midwest Colleges

- Decline in traditional Midwest undergraduates 2009-2015.
- Continuing Shrinking of STEM Majors: Addressing the K-12 student interests not matching societal and industry needs.
- Due to the downward traditional student market, schools must focus on stronger undergraduate student retention and emphasize graduate enrollments
- Strong Transfer Programs Needed: due to increasing costs, more students are starting at community colleges.
- Successful recruitment requires a multi-media approach that embraces needs of high-tech, high-touch and highly diverse generation.

Projected Change in High School Graduates 2002-2012



SOURCE: WICHE 2004



Decreases in Engineering Students

Potential Engineering Majors All College Bound, ACT Tested Students Interested in Any Engineering Field



New Student Market Share

	2000	2002	2004	GAIN
Public High School Graduates*	52,852	54,513	57,573	8.9%
UM Campus Freshmen	6,233	6,533	6,880	10.4%
Other Freshmen 4 year public	10,937	10,762	11,190	2.3%
Freshmen 4 year Private	8,729	8,695	9,094	4.2%
TOTAL 2 year Public	25,899	25,990	27,164	4.9%
% of 4 yr Freshmen at UM	24.1%	25.1%	25.3%	
% of 4 yr Public Freshmen at UM	36.3%	37.8%	38.1%	
Freshmen 2 year Public	29,852	32,202	33,399	11.9%
Freshmen 2 year Private	219	238	197	-10.0%
Total College Freshmen in MO	38,800	41,135	42,690	10.0%
% of Freshmen at UM	16.1%	15.9%	16.1%	

*SOURCES: MO DESE, Annual Report of School Data, web posted Sept. 27, 2004 MO DHE 2004-05 Statistical Summary of Missouri Higher Education; Tables 45, 46

Problem Statement

Can the Six Sigma Methodology be Used to Improve the Processes and Services in an Academic Environment?

Premise of the Research

• Six Sigma has been successful in improving both manufacturing and non-manufacturing processes in industry

• Previous quality initiatives have been used to make improvements in an academic environment

- Six Sigma can be successfully applied in an academic setting
 - But some things may be different
 - Some tools may be more helpful than others
 - Factors for success may be different

Quality in Non-Manufacturing Settings

• Quality initiatives (both TQM and Six Sigma) have evolved to include non-manufacturing and service processes

• Six Sigma has been the primary quality initiative of the last decade with documented successful application improving non-manufacturing processes

• Previous research in the literature indicates large potential benefits (financial and otherwise) can be recognized by improving service, administrative, and other non-manufacturing processes

Quality in Non-Manufacturing Settings

- GE quotes 2X return in non-manufacturing Six Sigma projects compared to manufacturing projects
- Juran Center for Leadership in Quality: "The most startling opportunities we've seen are in service and/or administrative areas."
- Research shows that the cost of poor quality in service-based businesses is typically as high as 50% of total budget (compared to 10-20 % for manufacturing operations)
- Initial performance for administrative processes starts between 1.5 and 3 sigma (50-90% yields)
- A 1990 survey says 90% of more of the potential for improvement lies within service industries and service jobs in manufacturing industries.

Quality Initiatives in Higher Education

• Since the late 1980's there have been many documented quality initiatives in Higher Education

- Most are based on TQM or similar philosophies
- Biggest successes have been in business and administrative processes

No literature examples of a university using the Six Sigma methodology could be found.

Success Factors for Six Sigma

The Right Project

The Right People

The Right Roadmap & Tools

The Right Support

Additional sources in the literature support the 4 "Rights"

Methodology and Research Format

- Case-study research format
- 7 member project team worked to improve the business processes at the UMR Admissions office
- •The team used the Six Sigma roadmap and tools:

MEASURE

Process Mapping Cause and Effects Matrix Measurement System Analysis Benchmarking Baseline Capability

ANALYZE

Failure Modes and Effects Analysis

Multiple-Variable Statistical Analysis

IMPROVE

CONTROL

Project Team & Schedule

Project Team:

Kimberly McAdams	-Master's Student & Team Leader (Black Belt)
Jay Goff	-Dean of Enrollment Management
Jennifer Bayless	-Assistant Director for Admissions
Lynn Stichnote	-Director of Admissions
Laura Stoll	-Registrar
Bob Whites	-Assistant Director of Financial Aid
Dr. Dave Spurlock	-Faculty advisor, Dept of Engineering Management
Dr. Gary Gadbury	-Faculty committee, Dept of Math & Statistics
Dr. Steve Raper	-Faculty committee, Dept of Engr Management

Schodula	Measurement:	9/4 - 10/31/01
Scheune.	Analysis:	11/1 - 12/31/01
	Improvement:	1/1 - 2/28/02
	Control:	3/1 - 5/31/02

Six Sigma - Where it comes from



The goal is to reduce the variation of the process If you are at Six Sigma:

You are producing good "product" 99.999% of the time There are no more than 3.4 defects per 1 million "units"

The word" Sigma" is a statistical term that measures how far a given process deviates from perfection.



Process Improvement Methodologyä -- Steve Zinkgraf

GE: "Globalization and instant access to information, products and services have changed the way our customers conduct business — old business models no longer work. Today's competitive environment leaves no room for error. We must delight our customers and relentlessly look for new ways to exceed their expectations. This is why Six Sigma Quality has become a part of our culture."

Six Sigma - What it Is

Six Sigma is a defined <u>methodology</u> and a set of <u>statistical and quality tools</u> used to improve the <u>performance of a</u> <u>process</u> so that the organization can realize <u>financial benefits</u>.

GE: "Six Sigma is a highly disciplined process that helps us focus on developing and delivering near-perfect products and services. The central idea behind Six Sigma is that if you can measure how many "defects" you have in a process, you can systematically figure out how to eliminate them and get as close to "zero defects" as possible. Six Sigma has changed

the DNA of GE—it is now the way we work—in everything we do and in every product we design.

Applying Six Sigma at the UMR Admissions Office

Results & Analysis

Measure Phase

Project Definition
Process Mapping
Measurement System Analysis
Cause and Effects Matrix
Benchmarking
Baseline Capability

Project Definition



Project Benefits

- Increased satisfaction with inquiries and applicants
- Increased enrollment yield of students that apply
- Improved perception, integrity, and accountability of office
- Simpler and better defined process for university employees and students
- More student-friendly customer service
- Improved employee satisfaction resulting in less turnover
- Quicker and more accurate view of status of applications
- Continued adherence to national & state guidelines and good practices

High-Level Process Map

INPUTS

- Media/method of communication
 internet/web form
 email
 mail (card or letter)
 hand-carry
 telephone
 college fair
 campus visit
 other campus contact
- Type of document received
 - inquiry general
 - inquiry specific
 - application
 - test scores
 - transcript
 - fee
 - financial statement
 - health forms
 - housing info
 - other support papers
- Person processing
- Degree programs
- Season / time of year



<u>OUTPUTS</u>

•Response to student (email, letter, call, postcard)
 Material to student (acknowledge, missing, acceptance, brochures)
•# of applications processed/day/ person
•Time to respond (< 48 hours)
 Operating cost per enrolled student
•# of out files
•# of lost files
•# of customer complaints
•# of reprocessed documents
•# of edit report errors / week

DEFINE OVERALL PROCESS & KEY OUTPUTS

Measurement System Analysis

AREA OF FOCUS	METRIC	
File processing	# of Misplaced Files	
	# of "Out" Files	
Data Entry Quality	# Errors / Application	$\left(\begin{array}{c} X \\ A \end{array}\right)$
	# Error Report Errors / Week	
	# Reprocessed Documents	
Processing Efficiency	Time to Respond to Student	
	# Applications / Person / Day	
Resulting Benefit	# of Complaints / Month	
	Operating Cost / Student	

The team defined the metrics that would be used to track performance of the admissions process

Detailed Process Maps



•We mapped the flow of the files, documents, and information

•We found "gaps" or undefined steps

•We found repetitive or "non-value added" steps

•Many benefits are often found in mapping a nonmanufacturing process

Benchmarking

	Date visited	Types of students	# of apps per year	People Soft	How Filed	File Folders	Division of work	Where files end up	Key features
University of Missouri-Rolla (UMR)		Freshman Transfer Graduate International	~6,000	Ø	xfer / fresh / grad; by term; all misc in separate file	colored folders by term; use out cards	ungrad / grad; S.A.'s file and support work	send to registrar	
Saint Louis University (SLU)	9/21	Freshman Transfer International	~6,000	no	xfer / fresh; 4 alpha sections w/in fresh; current/future/last term; misc under each section	pre-printed file envelope (open only on top); no color coding; use out cards	1 person for xfer & intl; 4 people by alpha for freshman	send to SLU101; filed at department	computer system tracks location of file; division of labor by alpha; bins for in/out & tbfiled
University of Missouri-Saint Louis (UMSL)	9/21	Freshman Transfer Graduate International	~15,000	no	all files A to Z	colored folder by 3rd letter of last name		keep final folder	
University of Missouri- Kansas City (UMKC)	9/28	Freshman Transfer Graduate	~15,000	no	all files A to Z; divided into 3 alpha sections; all misc in rolling file	printed colored label to 3rd letter of last name; colored label for year & term; no out cards	1 person enters all apps; 2 people (divided by alpha) enter transcripts, scores & complete file	send to registrar; "did not enroll" also sent to registrars	TRAX barcode system; clearly marked bins on each desk & at each filing/mail station; only copies sent from admissions; focus on "staff development" and motivation
Kansas University (KU)	9/28	Freshman Transfer	~15,000	Ø	incomplete / complete / last term; then all A to Z; misc in separate file	colored label to 3rd letter of last name; colored folders; use full size out cards	ATS opens mail, marks & sorts; seasonal workers for Ap Prep; 4 office specialists & 2 mail processors by alpha division of work	keep final folder	clearly defined division of labor; each document marked w/ name, dated, and checked on system; clearly marked file locations

Baseline Capability - File Processing



Proportion of files "out" of the file room each semester at the Registrar's "pull"

Total 14.6% of all files were "out" equating to a 2.6 Sigma process

Baseline Capability - Data-Entry Quality



Weekly PeopleSoft[™] Edit Report errors for Applications & Inquiries U is average errors per application/inquiry card Process is "Out of Control"

Analyze Phase

Failure Modes & Effects Analysis Multiple Variable (Multi-vari) Analysis

Multi-Vari Analysis - File Processing



Chi-Square Test of Files "Out" by Type of Student

- Chi-square test for Files "out" by Type of Student
- Ho: "Out" files does not depend on Type of Student
- Reject the null hypothesis -- there IS a significant difference
- Significantly MORE files "out" for Transfer students than would be expected

Multi-Vari Analysis - File Processing



Chi-Square Test of Files "Out" by Term

- Chi-square test for Files "out" by Term
- Ho: "Out" files does not depend on Term
- Reject the null hypothesis -- there IS a significant difference
- Significantly MORE files "out" in the Summer & Fall than would be expected

Multi-Vari Analysis - File Processing



Multi-Vari Analysis - Data-Entry Quality



Multi-Vari Analysis - Data-Entry Quality

Type of Data-Entry		Errors	Fields	Total	
Applications	actual	195	22804	22999	
Applications	expected	230	22769		
Prospect Cards	actual	402	36409	36811	
Prospect Carus	expected	367	36444		
TOTAL		597	59213	59810	
Chi - Sq = 8.542, P-Value = 0.003					

Chi-Square Test of Errors by Type

- Chi-square test for Errors by Type of Data Input
- Ho: Errors do not depend on Type of Data Input
- Reject the null hypothesis -- there IS a significant difference
- Significantly MORE errors inputting Prospect Cards
- Need to inform & better train student employees

Multi-Vari Analysis - Data-Entry Quality

		Total				
Error report	Errors	Records	Percent	Frequency	Severity	TOTAL
residency non match (A)	51	1735	2.9%	8	9	72
apps no residency (A)	45	1630	2.8%	8	9	72
test no percentile (A)	16	561	2.9%	8	6	48
prospects wrong plan (P)	84	2243	3.7%	10	4	40
prospects_termcleanup (P)	49	3005	1.6%	5	7	35
apps wrong plan (A)	8	418	1.9%	5	4	20
Applied_termcleanup (A)	8	1630	0.5%	2	7	14
Missouri no county (A	7	1008	0.7%	3	3	9
prospects no plan (P)	13	3005	0.4%	2	4	8
Applied_minor (A)	1	478	0.2%	1	4	4
Prospects minors (P)	5	3005	0.2%	1	4	4
Multiple plans (A)	0	1008	0.0%	0	4	0
No plan applicants (A)	0	1008	0.0%	0	4	0

- Modified FMEA for Edit Report Errors summing:
 - *Frequency* that the error occurs
 - *Severity* of the impact if the error occurs
- Conclusion: Need to focus on Residency

Multi-Vari Analysis - Processing Efficiency





- ANOVA for Time to Copy by Student
- Ho: $\mu_1 = \mu_2 = \mu_3$ (mean time to copy is independent of student)
- Reject the null hypothesis -- there IS a significant difference

• Different workers (mostly students) took significantly more time to copy documents

• Conclusion: Need consistent training for ALL workers

Improve Phase

Experiments Process Changes Mistake-Proofing Methods

Initial Improvement Proposal

1-Filing Proposal

2-Division of Office Work Activities

3-Office Organization

4-Office Personnel Development

5-File Management Guidelines

Initial changes based on benchmarking and process mapping

(1) Filing Proposal



(2) Division of Work Activities

- Division of activities first split by graduate & undergraduate
- Then an alphabet split among 4.5 data entry specialists

example:

<u>Graduate</u> (A-D) - Sharlene 1/2 (E-S) - Marsha (T-Z) - Connie

- <u>Undergraduate</u> (A-M) - Carolyn (N-Z) - Rana
- All application-related work for each student is processed by the same data entry specialist
 - Applications
 - Test Scores
 - Transcripts
 - Letters and financial statements
 - Specific phone calls & emails

Benefits

•Specialist becomes familiar with student (especially helpful with problems & questions)

•Specialist has ownership of student's file and documents

•Students and people outside office know who to go to with a question about a student's file

•Each specialist does all aspects of job

- automatic cross-training
- reduces repetition

•More balanced division of work

•Better loading of seasonal work

(3) Office Organization Suggestions

<u>Office</u> organization of mail and files



- Data Entry Specialists
 - Clear "inbox", "in-process", "labels", "completes", and "to be filed"

(4) Office Development



(5) File Management Guidelines

No misplaced files!!

No misplaced documents

- Fewer files out of file room for less time
- Take immediate / timely action on file
- Use and update "out cards" --> color card & date
- <u>All files returned to file room each week</u>
- Weekly count of "out" files and follow-up action
- Original documents stay within Parker Hall
- Documented and clearly communicated file management process and system
- Continuous improvement meetings
- Work towards a paperless system

Other Improvements

- Process Change so that *No Original Documents* leave Parker Hall
- Other *Process Modifications* to elimination steps and simplify the process flow
- Data-entry Quality Improvements
 - Immediate Feedback & Awareness of Errors
 - Permanent PeopleSoftTM software changes
- •Workspace Redesigned
 - •Space coordinated according to work processes
 - •Better desk space and file coordination
 - •Organized to accommodate imaging system

Workspace Redesign Improvements





Workspace Redesign Improvements



Measured Improvements for Errors Temporary Action: Spot Checking Files



• Can not claim any measured improvements here due to the fact that we did not start tracking data until January 2002, which was over 4 months into the project.

•Long term look for "Mistake Proofing" fixes: software modifications were made to limited data entry options (i.e. pull down menus, zip coding checking by city/state abbreviation) and daily automated data edit checks were installed.

Measured Improvements



- There has been a significant change in the number of files out of the file room
- 13 out of 1,320 files were not found for the Fall2002 semester

Measured Improvements

TERMS		Out	In	Total
W2000 E2001	actual	431	3738	4169
VV2000 - F2001	expected	323	3846	
W2002 & F2002	actual	15	1566	1581
	expected	123	1458	
TOTAL		446	5304	5750

Test and CI for Two Proportions

Sample	Х	N	Sample p
1	3738	4169	0.896618
2	1566	1581	0.990512

There has been a significant change in the number of files out of the file room

Estimate for p(1) - p(2): -0.0938944 95% CI for p(1) - p(2): (-0.104299, -0.0834903) Test for p(1) - p(2) = 0 (vs not = 0): Z = -17.69 P-Value = 0.000

Measured Improvements



Faster Admission Processing

- Achieved goal of 48 hour First Review of Apps
 - Undergraduate Apps Completed 17% Faster than in 2000
 - Graduate Apps Completed 24% Faster than in 2000

Control Phase

Hand-off Processing Monitoring Reaction Plan

Control – Implementing the Changes

- Hand-off to process owner, Assistant Director for Admissions
- Some of the effort is complete; much needs to be maintained
- Enrollment Management team to review metrics monthly
 - File Processing metrics
 - Data-entry Quality metrics
- Data-Entry specialists to meet once a month
 - Review File Processing metrics
 - Review Data-entry Quality metrics
 - Discuss Process Issues, Changes, & Improvements
- Keep Process Maps Updated

Analysis of Success Factors



- Overall, the team met the 4 factors for success
- Some notes:
 - The project scope was large
 - The team needed early representation from the process operators
 - C&E Matrix & FMEA would have helped to narrow the scope

Key Conclusions

• The Six Sigma team improved the accuracy, reliability and efficiency of the student application evaluation and data processing in the UMR admissions office

• In general, the application of the Six Sigma methodology in this academic setting was no different than would be seen in industry

- Some tools were more useful than others
 - Defined meaningful metrics and goals
 - Process Mapping & Benchmarking were foundation
 - C&E Matrix and FMEA should have been better applied
 - Data analysis directed team as to where to focus effort
- Six Sigma was a useful framework for the improvement efforts

Suggestions for Future Work

- Additional Six Sigma work at the Enrollment Management Office
 - Time for Admissions office to respond to students
 - On-line application
 - Registrars
 - Financial Aid
 - *Voice of the customer* to insure the goals of the office align with the needs and wishes of both students and the university
- Other Potential Areas to Apply Six Sigma at UMR

Purchasing	Food service
Financial aid service	Facilities management
Marketing & Promotions	Faculty & staff hiring
Travel	Student housing
Grant application	Accounting & payroll
Enrollment and registration	Classroom evaluation
Printing/copying/mail services	Library services

Follow-up to Study

• Data Points have not been regularly reviewed and discussed with management and the data entry team.

•6 Sigma updates need to be built into the agenda of every monthly team meeting.

•Progress Charts need to be posted in the office

Summary

This research has demonstrated that the Six Sigma methodology, which has been so effective in industry, can be successfully applied to improve the business processes in an academic setting

Although the UMR Admissions unit experienced immediate and consistent improvements, the monitoring and active review of the data points must be regularly reviewed and discussed on a bi-weekly basis.

Questions?

Kimberly McAdams

SBTI – Sigma Breakthrough Technologies, Inc. 11920 Meadowview Road Rolla, MO 65401 <u>kmcadams@sbtimail.com</u> (512) 431-7612

Jay W. Goff

Dean of Enrollment Management University of Missouri-Rolla 207 Parker Hall Rolla, MO 65409-1060 Phone: (573) 341-4378 Fax: (573) 341-4082 goffjw@umr.edu